



WHISTLE BLOWING POLICY

Fox (Owmbly) Limited encourages a free and open culture in dealings between its officers, employees and all people with whom it engages in business and legal relations. In particular, Fox (Owmbly) Limited recognizes that effective and honest communication is essential if concerns about breaches or failures are to be effectively dealt with and the Company's success is ensured.

The Public Interest Disclosure Act 1998 protects employees who raise legitimate concerns about specified matters from being dismissed by the Company or from being subjected to detrimental treatment or victimised by either the Company or work colleagues as a result, provided certain criteria are met. The Act makes provision about the kinds of disclosure which may be protected and the circumstances in which disclosures are protected. These rules are therefore intended to comply with the Act by encouraging employees to make disclosures about fraud, misconduct, bribery or other wrongdoings to the Company, without fear of reprisal, in order that issues can be identified, dealt with and resolved quickly.

Fox (Owmbly) Limited's Policy is to support workers who raise protected disclosures. Employees must not victimise, subject others to detrimental treatment or retaliate against an employee who has made a protected disclosure.

Employees are protected provided they reveal information of the right type (known as a "qualifying disclosure") and they reveal that information to the right person and in the right way (known as making a "protected disclosure").

Qualifying disclosures

Certain kinds of disclosure qualify for protection. These are disclosures of information which you reasonably believe are made in the public interest and tend to show one or more of the following relevant failures is either happening currently, took place in the past, or is likely to happen in the future:

- a criminal offence, eg fraud, acts of bribery
- a danger to the health and safety of any individual
- damage to the environment
- a miscarriage of justice
- the Company is breaking the law, eg doesn't have the right insurance
- deliberate concealment of information tending to show any of the above five matters

Only disclosures of information that fall within one or more of these six categories qualify for protection.

Your belief must be reasonable, but it need not be correct. It might be discovered subsequently that you were, in fact, wrong or mistaken in your belief, but you must be able to show that you held the belief and that it was a reasonable belief to hold given the circumstances at the time of disclosure. Note that it is not your responsibility to investigate the matter. That is the Company's responsibility.

You must also reasonably believe that your disclosure is made in the public interest. It will therefore not include disclosures which can properly be characterised as being of a personal rather than a wider public interest, for example a disclosure about a breach of the terms of your own contract of employment.

Protected disclosures

For a qualifying disclosure to be a protected disclosure, you need to make it to the right person and in the right way. There are a number of methods by which you can make a protected disclosure, but Fox (Owmbly) Limited always encourages all employees to raise any disclosure internally in the first instance. You are protected if you make a qualifying disclosure to either:

- Fox (Owmbly) Limited, or
- where you reasonably believe that the relevant failure relates solely or mainly to the conduct of a person other than the Company, or any other matter for which a person other than the Company has legal responsibility, to that other person.

You are encouraged to raise any qualifying disclosures that you may have by following the disclosure procedure set out below.

If your concern relates to a breach of your own contract of employment, you should use the Company's **Grievance Procedure** instead as these types of disclosure are not made in the public interest and are therefore not covered by this Policy.

THE DISCLOSURE PROCEDURE

This procedure applies to all permanent and temporary employees and workers. In addition, third parties such as agency workers, consultants and contractors and any others who perform functions in relation to Fox (Owmbly) Limited should use it.

The procedure is as follows:

1. If you wish to make a qualifying disclosure, you should, in the first instance, report the situation in writing to either the Managing Director, the Commercial Director, or the Company Secretary, setting out in detail the nature of your disclosure.
2. Such disclosures should be made promptly so that investigation may proceed and any action taken promptly.
3. All qualifying disclosures will be treated seriously. The disclosure will be promptly investigated and, as part of the investigatory process, you will be interviewed and asked to provide a written witness statement setting out the nature and details of your qualifying disclosure and the basis for it. Confidentiality will be maintained during the investigation in so far as is practical and appropriate in the circumstances. However, in order to effectively investigate a disclosure, the Company must be able to determine the scope of the investigation and the individuals who should be informed of or interviewed about the disclosure. Fox (Owmbly) Limited reserves the right to arrange for another Director, Officer, Manager to conduct the investigation other than the person with whom you raised the matter.
4. Once the investigation has been completed, you will be informed in writing of the outcome and the Company's conclusions and decision as soon as possible. Fox (Owmbly) Limited is committed to taking appropriate action with respect to all qualifying disclosures which are upheld.
5. You will not be penalised for raising a qualifying disclosure even if it is not upheld, unless the complaint was both untrue and made with malice.

6. Once the Company's conclusions have been finalised, any necessary action will be taken. This could include either reporting the matter to an appropriate external government department or regulatory agency and/or taking internal disciplinary action against relevant members of staff. If no action is to be taken, the reasons for this will be explained to you.
7. If, on conclusion of the above stages, you reasonably believe that appropriate action has not been taken, you may then report the matter externally to the proper authority in accordance with the provisions of the Act. The Act sets out a number of prescribed external bodies or persons to which qualifying disclosures may be made and you can access these on the government website www.gov.uk. However, the Company always encourages all employees to raise their concerns directly to Fox (Owmbly) Limited in the first instance, rather than externally. This enables issues to be dealt with promptly and speedily.

GENERAL PRINCIPLES

- be aware of the importance of eliminating fraud, misconduct, bribery or other wrongdoing at work. Report anything that you become aware of that is illegal or unlawful
- you will not be victimised, subjected to a detriment or dismissed for raising a protected disclosure under this procedure
- victimisation of an employee or worker, or subjecting them to any form of detrimental treatment or retaliation (including bullying and harassment), for raising a protected disclosure under this procedure will not be tolerated by the Company, is a disciplinary offence and will be dealt with under the Company's disciplinary procedure. Depending on the seriousness of the offence, it may amount to potential gross misconduct and could result in your summary dismissal
- be aware that you can also be held personally liable for any act of victimisation or detrimental treatment of an employee or worker on the ground that they made a protected disclosure
- you should immediately draw the attention of your Line Manager or the Company Secretary, to suspected cases of victimisation or detrimental treatment related to either you or another employee or worker having made a protected disclosure
- covering up someone else's wrongdoing is also a disciplinary offence. Never agree to remain silent about a wrongdoing, even if told to do so by a person in authority, such as your line manager
- your right to make a protected disclosure under this procedure overrides any confidentiality provisions in your contract of employment
- finally, maliciously making a false allegation is a disciplinary offence.

Authorised by:
Managing Director